



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
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September 10, 2020

VIA ECF FILING

Honorable Loretta A. Preska  
United States District Court  
Southern District of New York  
500 Pearl Street, Room 2220  
New York, NY 10007-1312

Re: **SEC v. Feingold & Malnik, et al., 20-cv-01881 (LAP)**

Dear Judge Preska:

Pursuant to the Stipulation and Order Modifying Freeze as to Adamas Health Care Fund (the "Order") (ECF No. 41), the parties are hereby submitting this joint letter to update the Court on the status of the asset freeze.

On September 9, 2020, counsel for relief defendant Adamas Health Care Fund (the "Fund") was advised by J.P. Morgan Chase ("JPMC") that approximately \$2,882,000 was transferred from the Fund's JPMC account in the United Kingdom to the Fund's Interactive Brokers ("IB") account in the United States, as required by Paragraph IV of the Order. The parties have now confirmed with IB that the monies are presently frozen in the Fund IB account.

The parties agree that the Fund has complied with its obligations under Paragraph IV of the Order, and will keep the Court apprised regarding any further developments in this matter.

Sincerely,

/s/ Rua M. Kelly

Rua M. Kelly  
Trial Counsel  
Securities & Exchange Commission  
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/s/ H. Gregory Baker

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